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## FAX FILING IN U.S. PATENT & TRADEMARK OFFICE

DATE: December 7, 2006

TIME: \_\_\_\_\_

TO:	USPTO	FAX NO.:	1-571-273-8300
FROM:	Lawrence E. Ashery	ADMIN. ASST.:	Donna M. Wellings
APPLN. NO.:	10/712,635	ATTY. DOCKET NO.:	SNK-3750US
TITLE OF APPLN.: OPTICAL DEVICE, LASER BEAM SOURCE, LASER APPARATUS AND METHOD OF PRODUCING OPTICAL DEVICE			
FILING DATE:	November 13, 2003	ART UNIT:	2828
FIRST INVENTOR:	Kazuhisa Yamamoto, et al.	CONF. NO.:	2129
TITLE OF DOCUMENT (and List of Attachments): Amendment			
Transmittal (1); Amendment (9)			

Total Number of Pages: 11 (including this form)

### COMMENTS

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PTO/SB/21 (09-04) (AW 10/2004)

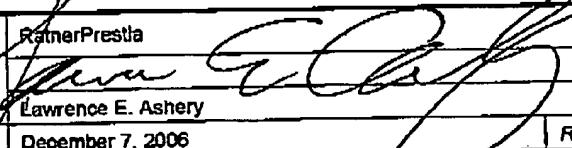
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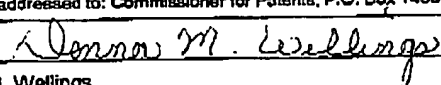
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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	10/712,635
	Filing Date	November 13, 2003
	First Named Inventor	Kazuhisa Yamamoto, et al.
	An Unit	2828
	Examiner Name	Dung T. Nguyen
Total Number of Pages in This Submission 10	Attorney Docket No.	SNK-3750US5

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Reply <input checked="" type="checkbox"/> After Final <input type="checkbox"/> Affidavits/Declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
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SIGNATURE OF APPLICANT, ATTORNEY OR AGENT			
Firm Name	RatnerPrestita		
Signature			
Printed Name	Lawrence E. Ashery		
Date	December 7, 2006	Registration No.	34,515

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Typed or Printed Name	Donna M. Wellings	Date	December 7, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

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Application No.: 10/712,635  
Amendment Dated: December 7, 2006  
Reply to Office Action of: September 12, 2006

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SNK-3750US5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No: 10/712,635  
Applicant: Kazuhisa Yamamoto, et al.  
Filed: November 13, 2003  
Title: OPTICAL DEVICE, LASER BEAM SOURCE, LASER APPARATUS  
AND METHOD OF PRODUCING OPTICAL DEVICE  
TC/A.U.: 2828  
Examiner: Dung T. Nguyen  
Confirmation No.: 2129  
Docket No.: SNK-3750US5

**AMENDMENT UNDER 37 C.F.R. § 1.116**

**Expedited Procedure**

Commissioner for Patents  
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Sir:

Responsive to the Final Office Action dated **September 12, 2006**, please amend the above-identified application as follows:

- ☐ **Amendments to the Specification** begin on page \_\_\_\_\_ of this paper.
- ☒ **Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper.
- ☐ **Amendments to the Drawings** begin on page \_\_\_\_\_ of this paper and include an attached replacement sheet(s).
- ☐ **Amendments to the Abstract** are on page \_\_\_\_\_ of this paper. A clean version of the Abstract is on page \_\_\_\_\_ of this paper.
- ☒ **Remarks/Arguments** begin on page 7 of this paper.